

Participant Interface Circular

Circular No.: NSDL/POLICY/DDP/2014/0005 Date: June 25, 2014

Subject: Investments by FPIs in Non-Convertible / Redeemable preference shares or debentures of Indian companies.

Attention of Participants who are registered with SEBI as Designated Depository Participants (DDPs) is invited to SEBI Circular No. CIR/IMD/FIIC/13/2014 dated June 17, 2014 (copy enclosed) regarding investments by FPIs in Non-Convertible / Redeemable preference shares or debentures of Indian companies.

Designated Depository Participants are advised to take note of the above.

For and on behalf of National Securities Depository Limited

Samar Banwat Senior Vice President

Enclosed: One

FORTHCOMING COMPLIANCE			
Particulars	Deadline	Manner of sending	Reference
Investor Grievance Report (Monthly)	By 10 th of the following month.	By email at dp_igreport@nsdl.co.in . Alternatively, by hard copy to the Investor Relationship Cell.	 Circular No. NSDL/PI/98/500 dated October 6, 1998. Circular No. NSDL/PI/2004/1688 dated September 16, 2004.
Compliance Certificate (January - June)	July 31st every year	Digitally signed compliance certificate by email at nsdl-bp-inspection@nsdl.co.in Alternatively, by hard copy to Inspection Department.	Circular No. NSDL/ POLICY/2006/0023 dated July 6, 2006 and Circular No. NSDL/POLICY/2010/0017 dated February 22, 2010

